

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street) ▼

1201 F Street, NW Suite 450

☐ Check if different than previously reported. (ACC)

Washington

DC

20004

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00111559

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- ☐ April 15  
Quarterly Report (Q1)
- ☐ July 15  
Quarterly Report (Q2)
- ☐ October 15  
Quarterly Report (Q3)
- ☐ January 31  
Year-End Report (YE)
- ☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)
- ☐ Termination Report  
(TER)

(b) Monthly  
Report  
Due On:

- ☐ Feb 20 (M2) ☐ May 20 (M5) ☒ Aug 20 (M8) ☐ Nov 20 (M11)  
(Non-Election  
Year Only)
- ☐ Mar 20 (M3) ☐ Jun 20 (M6) ☐ Sep 20 (M9) ☐ Dec 20 (M12)  
(Non-Election  
Year Only)
- ☐ Apr 20 (M4) ☐ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)

(c) 12-Day  
PRE-Election  
Report for the:

- ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
- ☐ Convention (12C) ☐ Special (12S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of(d) 30-Day  
POST-Election  
Report for the:

- ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y  
07 01 2013

through

M M M / D D D / Y Y Y Y Y Y  
07 31 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Cecilia Guarin

Signature of Treasurer

Cecilia Guarin

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y  
08 15 2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
07 / 01 / 2013 To: M M / D D / Y Y Y Y Y Y  
07 / 31 / 2013

|   | COLUMN A<br>This Period   | COLUMN B<br>Calendar Year-to-Date                                     |
|---|---|---|
| 6. (a) Cash on Hand<br>January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span><br><span style="border: 1px solid black; padding: 2px;">2013</span> |   | <span style="border: 1px solid black; padding: 2px;">240800.69</span> |
| (b) Cash on Hand at<br>Beginning of Reporting Period.....   | <span style="border: 1px solid black; padding: 2px;">127427.28</span> |   |
| (c) Total Receipts (from Line 19) .....   | <span style="border: 1px solid black; padding: 2px;">35346.00</span>  | <span style="border: 1px solid black; padding: 2px;">208514.25</span> |
| (d) Subtotal (add Lines 6(b) and<br>6(c) for Column A and Lines<br>6(a) and 6(c) for Column B).....   | <span style="border: 1px solid black; padding: 2px;">162773.28</span> | <span style="border: 1px solid black; padding: 2px;">449314.94</span> |
| 7. Total Disbursements (from Line 31) .....   | <span style="border: 1px solid black; padding: 2px;">0.00</span>      | <span style="border: 1px solid black; padding: 2px;">286541.66</span> |
| 8. Cash on Hand at Close of<br>Reporting Period<br>(subtract Line 7 from Line 6(d)) .....   | <span style="border: 1px solid black; padding: 2px;">162773.28</span> | <span style="border: 1px solid black; padding: 2px;">162773.28</span> |
| 9. Debts and Obligations Owed <b>TO</b><br>the Committee (Itemize all on<br>Schedule C and/or Schedule D) .....   | <span style="border: 1px solid black; padding: 2px;">0.00</span>      |   |
| 10. Debts and Obligations Owed <b>BY</b><br>the Committee (Itemize all on<br>Schedule C and/or Schedule D) .....  | <span style="border: 1px solid black; padding: 2px;">0.00</span>      |   |

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# DETAILED SUMMARY PAGE

## of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

 M M / D D / Y Y Y Y  
 07 / 01 / 2013

To:

 M M / D D / Y Y Y Y  
 07 / 31 / 2013
**I. Receipts**
**COLUMN A**  
**Total This Period**
**COLUMN B**  
**Calendar Year-to-Date**

## 11. Contributions (other than loans) From:

## (a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

23317.19

124755.77

(ii) Unitemized .....

12028.81

78758.48

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

35346.00

203514.25

(b) Political Party Committees .....

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) .....

35346.00

203514.25

## 12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

## 13. All Loans Received .....

0.00

0.00

## 14. Loan Repayments Received.....

0.00

0.00

## 15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

## 16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

5000.00

## 17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

## 18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3) .....

0.00

0.00

(b) Levin Funds (from Schedule H5) .....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),  
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

35346.00

208514.25

## 20. Total Federal Receipts

(subtract Line 18(c) from Line 19) .....

35346.00

208514.25

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. Disbursements  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures:  |                               |                                   |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4)                                  |                               |                                   |
| (i) Federal Share .....  | 0.00                          | 0.00                              |
| (ii) Non-Federal Share.....  | 0.00                          | 0.00                              |
| (b) Other Federal Operating Expenditures .....   | 0.00                          | 0.00                              |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....                        | 0.00                          | 0.00                              |
| 22. Transfers to Affiliated/Other Party Committees.....  | 0.00                          | 0.00                              |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees.....         | 0.00                          | 286500.00                         |
| 24. Independent Expenditures (use Schedule E) .....  | 0.00                          | 0.00                              |
| 25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....                   | 0.00                          | 0.00                              |
| 26. Loan Repayments Made.....  | 0.00                          | 0.00                              |
| 27. Loans Made.....  | 0.00                          | 0.00                              |
| 28. Refunds of Contributions To:   |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees .....                                  | 0.00                          | 41.66                             |
| (b) Political Party Committees .....   | 0.00                          | 0.00                              |
| (c) Other Political Committees (such as PACs).....   | 0.00                          | 0.00                              |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....                            | 0.00                          | 41.66                             |
| 29. Other Disbursements .....  | 0.00                          | 0.00                              |
| 30. Federal Election Activity (2 U.S.C. §431(20))  |                               |                                   |
| (a) Allocated Federal Election Activity (from Schedule H6)                                     |                               |                                   |
| (i) Federal Share .....  | 0.00                          | 0.00                              |
| (ii) "Levin" Share.....  | 0.00                          | 0.00                              |
| (b) Federal Election Activity Paid Entirely With Federal Funds .....                           | 0.00                          | 0.00                              |
| (c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....           | 0.00                          | 0.00                              |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..      | 0.00                          | 286541.66                         |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 0.00                          | 286541.66                         |

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans)<br>(from Line 11(d), page 3) .....          | 35346.00                      | 203514.25                         |
| 34. Total Contribution Refunds<br>(from Line 28(d)) .....                              | 0.00                          | 41.66                             |
| 35. Net Contributions (other than loans)<br>(subtract Line 34 from Line 33) .....      | 35346.00                      | 203472.59                         |
| 36. Total Federal Operating Expenditures<br>(add Line 21(a)(i) and Line 21(b)) ..... ► | 0.00                          | 0.00                              |
| 37. Offsets to Operating Expenditures<br>(from Line 15, page 3).....                   | 0.00                          | 0.00                              |
| 38. Net Operating Expenditures<br>(subtract Line 37 from Line 36) ..... ►              | 0.00                          | 0.00                              |

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 6 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Patrick J Coen**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10012

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 02 / 2013

**Transaction ID : 11755871**

Amount of Each Receipt this Period

300.00

Full Name (Last, First, Middle Initial)

**B. Paul Whyte**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10012

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 02 / 2013

**Transaction ID : 11755872**

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

**C. Grace Koo**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10012

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 02 / 2013

**Transaction ID : 11755873**

Amount of Each Receipt this Period

500.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

1050.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Anthony J O'Callaghan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 15 / 2013

**Transaction ID : 11812175**

Amount of Each Receipt this Period

41.66

Full Name (Last, First, Middle Initial)

**B. Christina Richardson-Bourke**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 15 / 2013

**Transaction ID : 11812224**

Amount of Each Receipt this Period

20.83

Full Name (Last, First, Middle Initial)

**C. Thomas T. Chung**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR11324357024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

104.15

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David S Alterman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11324367024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Richard M Dart**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11324397024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Douglas Nieters**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11324477024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

124.98



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David A Cantor**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11324597024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. George W Coleman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.38

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11324657024**

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Peter Song**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11324837024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

267.84

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John S Harrison**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

714.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11324867024**

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Joseph F Huber**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11324897024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Gerald M Lodge**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11324997024**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

708.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 11 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas H Ritchie**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11325067024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Marcia L Kessler**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11325087024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Adam B Plissner**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11325137024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

124.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael P Mulholland**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11325147024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Ira A Negin**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11325177024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Carlos Onis**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

714.28

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11325207024**

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

440.46

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 13 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Craig Oxman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11325217024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Timothy E. Perry**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11325247024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Stephen H. Can**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

514.28

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11325267024**

Amount of Each Receipt this Period

257.14

P/R Deduction (\$128.57 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

340.46

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 14 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael C Speller**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11325307024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Jeffrey A. Cohen**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.87

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11325397024**

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. John C Robertshaw**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11325437024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

243.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 84

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Patrice Aitken**

Mailing Address 11 Madison Ave

City  
New YorkState  
NYZip Code  
10010FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR11325447024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. James D Garrity**

Mailing Address 11 Madison Ave

City  
New YorkState  
NYZip Code  
10010FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.71

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR11325457024

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Lewis H Wirshba**

Mailing Address 11 Madison Ave

City  
New YorkState  
NYZip Code  
10010FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR11325467024

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

392.82

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael S Isikow

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 31 2013

Transaction ID : PR11325507024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Steven H Garnett

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y Y  
07 31 2013

Transaction ID : PR11325517024

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Timothy J Croak

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 31 2013

Transaction ID : PR11325537024

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

165.00

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Paliotta**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.71

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR11325657024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Paul J O'Keefe**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR11325677024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. John E Cogan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

823.48

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR11325757024**

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

343.80

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William Lau**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11325837024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Andrew M Hutcher**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11325967024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**c. Philip S Vasan**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1029.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11326087024**

Amount of Each Receipt this Period

294.12

P/R Deduction (\$147.06 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

377.44

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jill E Ford**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR11326137024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Bruce W Ling**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR11326197024**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Peter V Meyer**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR11326227024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

291.64

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
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 (check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Louis J Impellizeri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐  
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 07 / 31 / 2013

Transaction ID : PR11326277024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Thomas E Fusco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐  
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 07 / 31 / 2013

Transaction ID : PR11326307024

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Colleen A Graham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐  
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 07 / 31 / 2013

Transaction ID : PR11326337024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

291.64

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 21 OF 84

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Edmund F Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2787.96

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR11326367024

Amount of Each Receipt this Period

588.24

P/R Deduction (\$294.12 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Gautam S Gujral

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.71

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR11326497024

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Constance V Sailer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR11326537024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

814.40

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 22 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Steven M Kaiman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11326657024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Brent A Patry**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11326677024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Patrick C Gorman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11326697024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

166.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 23 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Emmet A Murphy**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11326737024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. David J Barrish**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11326897024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Victoria L Harmon**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11326977024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

124.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Maria Chiodi**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11327007024**

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Jodi DeVito**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11327237024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Skip S Seeder**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.74

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11327257024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

281.72



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
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PAGE 25 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William E. Beck**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR11327577024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Todd M. Orlich**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR11327627024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Mike D. Custar**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR11327777024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

226.16

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mark Barres**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11327817024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

## **B. Sean T Flynn**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

388.92

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11327887024**

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

Full Name (Last, First, Middle Initial)

## **C. Yong Yan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11327917024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

138.88

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 27 OF 84  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joshua A. Lukeman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR11327947024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Jiawei Zhang**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR11328447024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. George E. Dale**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR11328457024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

124.98

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas A. Finlan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR11328537024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Douglas A Simons**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR11328587024

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. James M Bernard**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR11328697024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

291.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Brent D. Robbins**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11329007024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Anthony P Brookfield**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11329087024**

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Anthony DeChellis**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11329097024**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

279.40

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 30 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Dwight A.L. Skerritt**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11329297024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. George F. Kasten**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11329357024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Steven J Reis**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11329417024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

226.16

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Russell J Burns**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.38

Date of Receipt

07 / 31 / 2013

Transaction ID : PR11329437024

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Scott Corman**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.38

Date of Receipt

07 / 31 / 2013

Transaction ID : PR11329447024

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Mohamed Subrati**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

233.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR11329587024

Amount of Each Receipt this Period

33.32

P/R Deduction (\$16.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

325.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 OF 84  
(check only one)

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Judith E. Smith**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11329597024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Thomas Prevost**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11329667024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. William L. Raincsuk**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR11329757024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

267.82



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Charles A Skowron**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11329777024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Jamie L. Cook**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11329787024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Sean C. Gray**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11329957024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

124.98

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
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 (check only one)

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|   |                              |                              |                             |                             |                             |                             |                             |                             |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Christopher J. Ceraso**

Mailing Address 11 Madison Ave

 City  
 New York

 State  
 NY

 Zip Code  
 10010

 FEC ID number of contributing  
 federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| M | M | M | / | D | D | D | / | Y | Y | Y | Y | Y | Y |
| 0 | 7 |   |   | 3 | 1 |   |   | 2 | 0 | 1 | 3 |   |   |

Transaction ID : PR11329977024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Andrew B Federbusch**

Mailing Address 11 Madison Ave

 City  
 New York

 State  
 NY

 Zip Code  
 10010

 FEC ID number of contributing  
 federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

700.00

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| M | M | M | / | D | D | D | / | Y | Y | Y | Y | Y | Y |
| 0 | 7 |   |   | 3 | 1 |   |   | 2 | 0 | 1 | 3 |   |   |

Transaction ID : PR11329997024

Amount of Each Receipt this Period

100.00

P/R Deduction (\$50.00 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Shawn J Sullivan**

Mailing Address 11 Madison Ave

 City  
 New York

 State  
 NY

 Zip Code  
 10010

 FEC ID number of contributing  
 federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| M | M | M | / | D | D | D | / | Y | Y | Y | Y | Y | Y |
| 0 | 7 |   |   | 3 | 1 |   |   | 2 | 0 | 1 | 3 |   |   |

Transaction ID : PR11330177024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

183.32

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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PAGE 35 OF 84

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kevin E Naughton**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11330267024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Steven M. Bock**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR11330327024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. James L Amine**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR12364277024**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

291.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Nicole S Arnaboldi**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1285.68

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR12364287024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Robert L Basso**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR12364347024**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Stephen R Dunne**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

714.28

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR12364817024**

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

708.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew W Gorman**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR12365067024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Stephen E. Gray**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

937.50

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR12365087024**

Amount of Each Receipt this Period

312.50

P/R Deduction (\$156.25 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Louise Guarneri**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR12365187024**

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

471.80

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stephen H Haratunian**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR12365227024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Robert Jain**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

714.28

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR12365327024**

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Eric Keatley**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR12365397024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

541.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 39 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Bruce Klein**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

714.28

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR12365447024**

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Stephen McMorran**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR12365777024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Stefano Natella**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR12365907024**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

648.78

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 40 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Moshe Orenbuch**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

214.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 / 31 / 2013

**Transaction ID : PR12365947024**

Amount of Each Receipt this Period

107.14

P/R Deduction (\$53.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

## **B. Robert N. Pomroy**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 / 31 / 2013

**Transaction ID : PR12366027024**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

## **C. Joseph E Reece**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 / 31 / 2013

**Transaction ID : PR12366067024**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

523.78



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph R Sevita**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR12366237024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Stuart F Smith**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR12366367024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Anthony Blasi**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR12691227024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

267.82

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

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 (check only one)

|   |                              |                              |                             |                             |                             |                             |                             |                             |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Dean Wilson Ervin**

Mailing Address 11 Madison Ave

|          |       |          |
|----------|-------|----------|
| City     | State | Zip Code |
| New York | NY    | 10010    |

FEC ID number of contributing federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1142.84

Date of Receipt

|       |   |       |   |             |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 07    | / | 31    | / | 2013        |

Transaction ID : PR12691397024

Amount of Each Receipt this Period

571.42

P/R Deduction (\$285.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Michaelle I. Burstin**

Mailing Address 11 Madison Ave

|          |       |          |
|----------|-------|----------|
| City     | State | Zip Code |
| New York | NY    | 10010    |

FEC ID number of contributing federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

|       |   |       |   |             |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 07    | / | 31    | / | 2013        |

Transaction ID : PR12692257024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Stephen D Simberg**

Mailing Address 11 Madison Ave

|          |       |          |
|----------|-------|----------|
| City     | State | Zip Code |
| New York | NY    | 10010    |

FEC ID number of contributing federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

|       |   |       |   |             |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 07    | / | 31    | / | 2013        |

Transaction ID : PR12692677024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

696.40

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Timothy D Bock**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.35

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR1269277024**

Amount of Each Receipt this Period

133.34

P/R Deduction (\$66.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Victoria A Longo**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR17871577024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Alastair J Cairns**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR17884497024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

258.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher Carter**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

388.92

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR17884507024**

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Frank R Malarkey**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR17884607024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Benjamin A Ruf**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR17884657024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

138.88

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Peter O Skoglund**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR17884667024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Andrew J Yao**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR17884727024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Richard Blond**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR22263757024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

208.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michele D Cubic

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.58

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR22263817024

Amount of Each Receipt this Period

76.94

P/R Deduction (\$38.47 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Denise A Fiallo

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR22263867024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. William F Hughes

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR22263947024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

201.92

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mara B Lipner**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR22264057024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Todd Macy**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR22264077024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Scott C. Miller**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR22264127024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

124.98

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 48 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael S Nies**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR22264167024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Shantha Ozgen**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR22264187024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Hari Raghavan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR22264217024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

124.98



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David W Smythe**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

364.56

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR22264277024**

Amount of Each Receipt this Period

52.08

P/R Deduction (\$26.04 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Daniel L Eggers**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR22264417024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Henry N Goodman**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR22264437024**

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

224.34

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Jakob**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR22264457024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Matthew H. Wosk**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR22264517024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Robert J Bernstone**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR22336817024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

124.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 51 OF 84

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Anthony K Kontoleon**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR22336837024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Mark D Callahan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR22620777024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Timothy J Scanlon**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR33028687024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

226.16

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher T Wagner**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR33052507024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Sean T Brady**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

736.82

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR33061377024**

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Robert E Durham**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

736.82

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR33061467024**

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

252.18

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Peter J Feeney**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

736.82

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR33061477024**

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Charles T McKinney**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR33061767024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Michael A Proskin**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR33061917024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

188.58

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Gautam K Ahuja**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR33583467024**

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Henry B Moog**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR33583567024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Bruce B. Anderson**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR33583597024**

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

126.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lauren E Barnes**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.94

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR33583627024**

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Joo Y Boe**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.94

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR33583677024**

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. James Doherty**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

411.74

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR33583787024**

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

117.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas J Drake

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR33583797024

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Amy B Hudson

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR33583927024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Ishan Lal

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR33583977024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

112.74

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert B Perlman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR33584047024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Alan R. Reifenberg**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.71

Date of Receipt

07 / 31 / 2013

Transaction ID : PR33584057024

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Nathan P Romano**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

07 / 31 / 2013

Transaction ID : PR33584097024

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

369.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 58 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jamie W Welch**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.71

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

Transaction ID : PR33584257024

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Alan K Chen**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.82

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

Transaction ID : PR33683237024

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Kenneth R Deasy**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.82

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

Transaction ID : PR33683247024

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

205.36

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 59 OF 84

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ben Oren

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
07 31 2013

Transaction ID : PR38478737024

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Timothy P Bentham

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
07 31 2013

Transaction ID : PR39315807024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Edin Buturovic

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
07 31 2013

Transaction ID : PR39315867024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

267.82

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 60 OF 84  
(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Howard Chen**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR39315887024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Brian F Connors**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR39315917024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Jason D Halio**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR39316127024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

124.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 61 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andrew L Hubbard**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR39316177024**

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Jon M Klein**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR39316247024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Sara Lander**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR39316277024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

208.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 62 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Marc A Mansourian**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR39316287024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Michael F. Marco**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR39316297024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Edward McAlea**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR39316327024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

208.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 63 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher H Mulshine**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR39316377024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Parshu Shah**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR39316487024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Jerry L Smith**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR39316527024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

208.30

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 64 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mahesh Swaminathan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR39316597024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Michael E Tittmann**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR39316607024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. James B. Walker**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR39316657024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

166.64



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard S Zinman**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

591.22

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR39316697024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Gardy A Berthoumieux**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43824157024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Anthony C Bowe**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43824177024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

309.48

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER:  
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PAGE 66 OF 84

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John L. Bown**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR43824187024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. John N Dabbs**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.87

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR43824247024**

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Anthony A Dertouzos**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR43824267024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

183.80

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 67 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard S Dutton**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR43824277024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Norman Epstein**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR43824297024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Robert J. Franz**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 07 31 2013

**Transaction ID : PR43824317024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

124.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 68 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Douglas T Healy**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43824377024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Kenneth D. Hoffman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43824397024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**c. Charles C Jellinghaus**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43824417024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

166.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jeffrey D Jennings**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR43824427024

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Michael G Kassab**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR43824457024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**c. Guy C. Kearsley**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR43824477024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

333.30

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 70 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph Leo**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR43824527024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Thomas M Lynch**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR43824597024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Daniel C Mathisson**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

MM / DD / YYYY  
07 / 31 / 2013

**Transaction ID : PR43824627024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

166.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
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PAGE 71 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Philip J Moineau**

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR43824667024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Bernard W. Moody**

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

07 / 31 / 2013

Transaction ID : PR43824677024

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Thomas J Mullooly**

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR43824697024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

249.98

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

PAGE 72 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Peter Norley**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.74

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43824727024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Walter Richard O'Connell**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43824737024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Sondra Rivers**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43824787024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

226.16

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Todd C Sandoz**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR43824817024

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Jonathan S Satran**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR43824827024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Douglas J Trauber**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

Transaction ID : PR43824867024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

291.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 74 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Brian J Walker**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY  
 07 / 31 / 2013

**Transaction ID : PR43824897024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Lara J. Warner**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

714.28

Date of Receipt

MM / DD / YYYY  
 07 / 31 / 2013

**Transaction ID : PR43824907024**

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Eric A. Federman**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

MM / DD / YYYY  
 07 / 31 / 2013

**Transaction ID : PR43824957024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

482.12

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Ivan Hrazdira**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43824977024**

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Robin M Rankin**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR43825077024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Robert H Huntington**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR56510767024**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

208.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 76 OF 84

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

**A. David Coulam**

Mailing Address 11 Madison Ave

City  
New YorkState Zip Code  
NY 10010FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLCOccupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR56510797024

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Richard J DellaRusso**

Mailing Address 11 Madison Ave

City  
New YorkState Zip Code  
NY 10010FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLCOccupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR56510807024

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Scott W Soules**

Mailing Address 11 Madison Ave

City  
New YorkState Zip Code  
NY 10010FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLCOccupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1111.12

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

Transaction ID : PR67443907024

Amount of Each Receipt this Period

277.78

P/R Deduction (\$138.89 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

402.76

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 77 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Paul E Germain**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.42

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR67968127024**

Amount of Each Receipt this Period

285.70

P/R Deduction (\$142.85 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Nelson M Louie**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR67968247024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Oscar Bleetstein**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

341.84

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR67969197024**

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

499.96

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

FOR LINE NUMBER: PAGE 78 OF 84

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Christopher S Scaring**

Mailing Address 11 Madison Ave

City  
New YorkState Zip Code  
NY 10010FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLCOccupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y  
 07 / 31 / 2013

Transaction ID : PR67969387024

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Christopher L Young**

Mailing Address 11 Madison Ave

City  
New YorkState Zip Code  
NY 10010FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLCOccupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.74

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y  
 07 / 31 / 2013

Transaction ID : PR68091177024

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Mark S Simonian**

Mailing Address 11 Madison Ave

City  
New YorkState Zip Code  
NY 10010FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLCOccupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y  
 07 / 31 / 2013

Transaction ID : PR68091197024

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

428.52

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 79 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jay Kim**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

714.28

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR71193547024**

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Alfred J Baldwin**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR71312657024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Peter James McGough**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.74

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR71312837024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

642.82

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Ronald David Parrish**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR71430527024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Eugene E Byrne**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR75086337024**

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Richard Jon Kinderlerer**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y Y  
07 / 31 / 2013

**Transaction ID : PR75086357024**

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

253.96



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 81 OF 84  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jessica A Mandel**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.24

Date of Receipt

07 / 31 / 2013

Transaction ID : PR75086367024

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. David E Delaney**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.87

Date of Receipt

07 / 31 / 2013

Transaction ID : PR75086437024

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. John D Edwards**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.87

Date of Receipt

07 / 31 / 2013

Transaction ID : PR75086457024

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

173.20

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
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 (check only one)

|   |                              |                              |                             |                             |                             |                             |                             |                             |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christopher W Gaertner

Mailing Address 11 Madison Ave

City  
New YorkState  
NYZip Code  
10010FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

411.74

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| M | M | M | / | D | D | D | / | Y | Y | Y | Y | Y | Y |
| 0 | 7 |   |   | 3 | 1 |   |   | 2 | 0 | 1 | 3 |   |   |

Transaction ID : PR75086467024

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Charles M Shaffer

Mailing Address 11 Madison Ave

City  
New YorkState  
NYZip Code  
10010FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.87

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| M | M | M | / | D | D | D | / | Y | Y | Y | Y | Y | Y |
| 0 | 7 |   |   | 3 | 1 |   |   | 2 | 0 | 1 | 3 |   |   |

Transaction ID : PR75086507024

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Neil H Sherman

Mailing Address 11 Madison Ave

City  
New YorkState  
NYZip Code  
10010FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

411.74

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| M | M | M | / | D | D | D | / | Y | Y | Y | Y | Y | Y |
| 0 | 7 |   |   | 3 | 1 |   |   | 2 | 0 | 1 | 3 |   |   |

Transaction ID : PR75086517024

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

294.10

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Darryl J. Burke**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR75328917024**

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Michael G Clark**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.40

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR75732687024**

Amount of Each Receipt this Period

285.70

P/R Deduction (\$142.85 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Thomas C Davidov**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

07 / 31 / 2013

**Transaction ID : PR75732697024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

553.54

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 84 OF 84

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lawrence M Young**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
07 31 2013

**Transaction ID : PR75732797024**

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City

State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City

State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

142.84

23317.19